



## Raymond A. Thompson named President and CEO of Dorsey & Company

August 6, 2019

New Orleans, LA -- Dorsey & Company Inc., an independent financial services firm and wealth manager, announced today that Mr. Raymond A. Thompson has been named President and CEO. Thompson was previously Senior Vice President, Chief Operations Officer and Chief Compliance Officer for the firm. His promotion follows the recent unexpected passing of Philip J. Dorsey.

Mr. Thompson's career in the securities industry began in February 1981, joining Dorsey & Company in 1983, 36 years ago. He is a native of the New Orleans area and a graduate of Louisiana Tech University. He holds multiple industry registrations including General Securities, Municipal and Financial & Operations Principal and has been the firm's designated Executive Representative with FINRA for many years. He led the firm's initiative and registration with the Securities & Exchange Commission to become a dually-registered broker/dealer and investment adviser. His responsibilities have included the supervision of all aspects of Dorsey & Company's activities.

"Philip expressed to me his confidence in Ray and his wish that he be entrusted with this responsibility," said Mary Dorsey. "He has the broad knowledge and experience to lead the firm in such a complex, continually changing industry."

"Dorsey & Company is a truly independent financial services firm that has the platform, resources, personnel and culture, established by Philip, to serve its multi-generational clientele ethically for years to come," said Thompson. "I am excited, though reluctantly due to our very sudden loss of Philip, to contribute to the firm's growth going forward."

Thompson has served multiple terms on the Financial Industry Regulatory Authority's (FINRA) District 5 Committee and was a former member of FINRA's Regulatory Advisory Committee (RAC). He is currently a contributing member of the Securities Industry and Financial Markets Association (SIFMA) Small Firms Committee and CEO Roundtable; a member of the Financial Planning Association since 1983; National Society of Compliance Professionals since 2001; and Society of Financial Service Professionals for over 15 years.

### **About Dorsey & Company**

Dorsey & Co. is a New Orleans securities firm which, since its founding 60 years ago, has been serving multiple generations of Gulf South investors. The firm is dually-registered as both a securities broker-dealer (FINRA) and an investment adviser (SEC). Dorsey provides full service financial and securities services to individual, corporate, and fixed income institutional clients. Services include investment consulting, investment advisory and money management, stocks and municipal bond brokerage, and insurance.

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